

P#6

PATENT  
Docket No. 416272000200  
Client Ref. B01-045-2

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on April 9, 2002.

LILIA OLSEN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Bob B. BUCHANAN et al.

Serial No.: 10/067,484

Filing Date: February 4, 2002

For: RAGWEED ALLERGENS

Examiner: To Be Assigned

Group Art Unit: 1653

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**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ **Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.**
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \* is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for

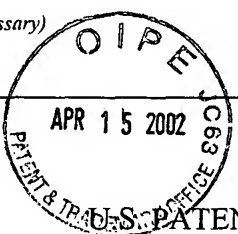
any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 416272000200. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 9, 2002

Respectfully submitted,

By: Michael R. Ward  
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|  |             |   |              |   |       |   |                               |
|--|-------------|---|--------------|---|-------|---|-------------------------------|
| <b>Form PTO-1449</b><br><br><b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br><br><i>(Use several sheets if necessary)</i>   |             |   |              | Docket Number <b>416272000200</b>             |       | Application Number <b>10/067,484</b>  |                               |
|   |             |   |              | Applicant<br><br><b>Bob B. BUCHANAN et al</b> |       |   |                               |
|  |             |   |              | Filing Date <b>February 4, 2002</b>           |       | Group Art Unit <b>1653</b>  |                               |
|  |             |   |              | Mailing Date <b>April 9, 2002</b>             |       | <b>RECEIVED</b><br><br><b>APR 18 2002</b><br><br><b>TECH CENTER 1600/2900</b> |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |             |   |              |   |       |   |                               |
| Examiner<br>Initials   | Ref.<br>No. | Date  | Document No. | Name  | Class | Subclass  | Filing Date If<br>Appropriate |
|  | 1.          | 01/01/2002  | 6,335,020 B1 | Rogers et al.                                 |       |   |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |             |   |              |   |       |   |                               |
| Examiner<br>Initials   | Ref.<br>No. | Date  | Document No. | Country                                       | Class | Subclass  | Translation<br>YES NO         |
|  |             |   |              |   |       |   |                               |
| <b>OTHER DOCUMENTS</b> <i>(including author, title, Date, Pertinent Pages, Etc.)</i>   |             |   |              |   |       |   |                               |
| Examiner<br>Initials   | Ref.<br>No. | Title   |              |   |       |   |                               |
|  | 2.          | Bridger, G. Patrick and Donald F. Proctor (1971) "Laryngeal Mucociliary Clearance" <i>Ann. Otol. Rhinol. Laryngol.</i> 80: 445-449. |              |   |       |   |                               |
|  |             |   |              |   |       |   |                               |
| <b>EXAMINER:</b>   |             |   |              | <b>DATE CONSIDERED:</b>                       |       |   |                               |
| EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant. |             |   |              |   |       |   |                               |